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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUL 19 2001

Technology Center 2100

PATENT APPLICATION OF )  
Susan P. Beacham )  
)  
SERIAL NO.: 09/825,714 )  
)  
FILED: April 5, 2001 )  
)  
FOR: METHOD AND SYSTEM FOR )  
ENABLING TEENAGERS TO MAKE )  
STOCK MARKET TRANSACTIONS )  
VIA THE INTERNET WITH ADULT )  
AUTHORIZATION )

Group Art Unit: 2164

Examiner:

*[Signature]*

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 CFR 1.97

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of references known to applicant and/or her attorney in compliance with the requirements of 37 C.F.R. 1.56.

Applicant believes that none of the references submitted herewith, alone or in combination, discloses or suggests the invention claimed by applicant in the present invention. Applicant seeks to have these references available in the record for both the Examiner and the public to see.

Applicant specifically reserves all rights of privilege and confidentiality with respect to the application. Submission of this document is not to be construed as a waiver of these rights. Submission of references is without admission that any has an effective date prior to applicant's effective date of invention.

Respectfully submitted,

*Harold J. Fassnacht*  
Harold J. Fassnacht  
Reg. No. 35,507  
Attorney for Applicant

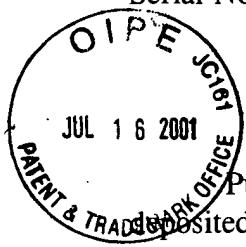
BULLWINKEL PARTNERS, LTD.  
19 S. LaSalle Street - Suite 1300  
Chicago, Illinois 60603-1493  
Telephone: 312-201-0777  
Dated: July 5, 2001

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Serial No. 09/825,714



CERTIFICATE OF MAILING

Pursuant to 37 CFR Section 1.10, the undersigned certifies that this communication was deposited in the U.S. Postal Service on July 5, 2001, and addressed to:

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PTO/SB/08A (08-00)

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**Substitute for form 1449A/PTC**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Complete if Known**

<b>Application Number</b>	09/825,714
<b>Filing Date</b>	4/5/01
<b>First Named Inventor</b>	Beacham
<b>Group Art Unit</b>	2164
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	MSG1

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## **U.S. PATENT DOCUMENTS**

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~~Technology Center 2100~~

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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2 of 2

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Sheet 2 of 2 Attorney Docket Number MSG1 Technology Center 2100

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

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